

Business Continuity Plan Statement

SpeedTrader, Inc. (“SpeedTrader”) has developed and tested a Business Continuity Plan on how SpeedTrader will respond to events that significantly disrupt its business. Since the timing and impact of disasters and disruptions is unpredictable, SpeedTrader will have to be flexible in responding to actual events as they occur. With that in mind, SpeedTrader is providing this information on its business continuity plan.

Contacting SpeedTrader – If after significant business disruption clients cannot contact SpeedTrader at (845) 531-2487; clients should call the SpeedTrader emergency number (845) 926-5956 or go to our web site www.speedtrader.com for further instruction during a significant business disruption.

SpeedTrader, Inc.’s Business Continuity Plan – SpeedTrader plans to quickly recover and resume business operations after a significant business disruption and respond by safeguarding its employees and property, making a financial and operational assessment, protecting the firm’s books and records, and allowing customers to transact business. In short, the business continuity plan is designed to permit SpeedTrader to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

The business continuity plan addresses: data back-up and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counter-party impact; regulatory reporting; and assuring customers prompt access to their funds and securities if SpeedTrader is unable to continue its business.

SpeedTrader’s clearing firms back up important records in a geographically separate area. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, SpeedTrader has been advised by its’ clearing firm that their objective is to restore their own operations immediately or as soon as possible, depending on the damage to the facility. Clients’ orders and requests for funds and securities could be delayed during this period.

Varying Disruptions – Significant business disruptions can vary in their scope, such as affecting: only SpeedTrader; a single building housing SpeedTrader; the business district where SpeedTrader is located; the city where SpeedTrader is located; or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe.

SpeedTrader has equipped each employee with the resources to continue operations from their home. If SpeedTrader experiences any level of disruption, the firm will transfer operations to a work from home status within 2 hours.

If the disruption is regional in nature, where employees cannot function from home, SpeedTrader will transfer operations to its clearing firms, if necessary, and notify clients through its web site, SpeedTrader.com or a customer emergency number: (845) 926-5956, (only to be used if (845) 531-2487 is unavailable) If the significant business disruption is so severe that it prevents SpeedTrader from remaining in business, SpeedTrader will assure its customer's prompt access to their funds and securities.

For more information – If you have questions about the business continuity plan, you can contact SpeedTrader at (845) 531-2487.

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