

RE: Account Number: _____

Account Holder(s): _____

Account Holder Address: _____

I understand that, at the present time, my account has been identified and coded as a pattern day trader. I hereby advise you that I do not intend to conduct further pattern day trading activities in my account. I understand that my account will be coded as a non-pattern day trader and that I may be allowed to conduct occasional day trades, but that if I conduct pattern day trading: 4 or more day trades in 5 business days, where the day trading activities are greater than 6% of my total trading activity for that same five-day period, then my account will be designated permanently as a pattern day trading account.

Therefore, I agree to cease pattern day trading activities in my account, and I understand that my account will be coded permanently as a pattern day trading account if I conduct pattern day trading activities.

_____ Print Name _____
Account Holder Date: _____

_____ Print Name: _____
Joint Holder Date: _____

_____ For Office Use Only: Principal Review: _____ Date: _____