

It is SpeedTrader, Inc. policy to know our clients. A copy of this form must be completed for each beneficial owner and/or signatory of a SpeedTrader account, including individuals named on a Power of Attorney and Trading Authorizations. The information requested is required under United States Anti-Money Laundering regulations. Please complete this form and provide the below listed documentation for each relevant individual.

Proof of identity: Copy of passport. A driver's license or other government issued ID may be acceptable on a case-by-case basis.

Proof of Address: Copy of bank/brokerage firm statement, a utility bill or phone bill that includes your home address.

Personal Information

Your Full Name: _____ Country of Citizenship: _____

Residential Address: _____ City: _____

State or Province: _____ Country: _____ Postal Code: _____

Home Phone: _____ Mobile Phone: _____

Date of Birth: _____ Marital Status: _____ Passport or ID # _____

Employment Information

Employer Name: _____ Employers Address: _____

City: _____ State or Province: _____ Country: _____

Postal Code: _____ Business Phone: _____ Employer Business/Industry: _____

Position: _____ Length of Employment: _____

Due Diligence Questions

No Yes - Do you have another account at SpeedTrader or are you the beneficial owner of a company that has an account with SpeedTrader, Inc.? - If YES, account # _____ % ownership _____ % Initial: _____

No Yes – In the last 10 years, have you been a director, officer or controlling shareholder of a company? If YES, please explain. _____

If YES, I hereby acknowledge that any filing requirements are solely my responsibility, and I am not relying on SpeedTrader for any assistance or advice in regard to regulatory filings that I may be required to undertake in a foreign jurisdiction. Initial: _____

All executions through Speedtrader, Inc. Member FINRA & SIPC
2875 Route 35, Suite 5C-2, Katonah, New York 10536
Phone (845) 531-3039 - Fax (845) 622-4878 - Info@SpeedTrader.com

No Yes - Are you, or immediate family member, a politically exposed person involved with government legislative, executive, diplomatic, judiciary functions or with a state-owned enterprise?

If YES, please explain. _____

No Yes - Have you been charged or convicted of fraud or corruption? - If YES, please explain.

No Yes - Have you ever been charged or convicted of any crime? - If YES, please explain.

I hereby acknowledge that any tax obligations are solely my responsibility and that I am not relying on SpeedTrader, Inc. for any taxation advise. Initial: _____

What is the purpose for the brokerage account? Please be specific. _____

Over the next 12-24 months, how much do you expect to be deposited into the account? _____

I certify that all the information provided by me in this document is true and correct, and I agree to advise SpeedTrader immediately of any changes to this information. I agree to hold SpeedTrader, Inc. harmless for any errors or omissions.

Name: _____ Signed: _____ Date: _____

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