

**Pattern Day Trader
Strategy Form**

Account Number: _____

Account Holder(s): _____

Account Holder Address: _____

I understand that, at the present time, my account has been identified and coded as a pattern day trader. I hereby advise you that I do not intend to conduct further pattern day trading activities in my account. I understand that my account will be coded as a non-pattern day trader and that I may be allowed to conduct occasional day trades, but that if I conduct pattern day trading: more than 4 or more day trades in 5 business days, then my account will be designated permanently as a pattern day trading account. A day trade is based on a closing transaction. 1 buy and 1 sell is 1 day trade. 2 buys and 1 sell is 1 day trade. 1 buy and 2 sells is 2 day trades.

Therefore, I agree to cease pattern day trading activities in my account, and I understand that my account will be coded permanently as a pattern day trading account if I conduct pattern day trading activities.

_____	Print Name	_____
Account Holder Signature	Date:	_____

_____	Print Name:	_____
Joint Holder Signature	Date:	_____

For Office Use Only:	Principal Review:	_____	Date:	_____
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