

ACCOUNT TRANSFER FORM (ACAT)

This account transfer form will be used to move securities and cash between your brokerage accounts. Please provide a brokerage statement from the delivering account must accompany this form and dated with 60 days of this transfer. Please fill out below information and submit to your broker dealer.

SECTION 1: Account Information

The Registration and Social Security Numbers must match both accounts (SS# / Tax ID#)

RECEIVE Account info (Moving to)	DELIVER Account Info (delivering FROM)
Clearing # Curvature: 3450	Clearing #:
Account #:	Account #:
Account Title:	Account Title:
Account Type: <input type="checkbox"/> Individual <input type="checkbox"/> Corporate/Business <input type="checkbox"/> Joint	Account Type: <input type="checkbox"/> Individual <input type="checkbox"/> Corporate/Business <input type="checkbox"/> Joint
Receiving Firm: Curvature Securities LLC	Delivering Firm:
Receiving Firm Address: 39 Main Street, Chatham, NJ 07928	Delivering Firm Address:
Receiving Firm Phone: 908-532-3600	Delivering Firm Phone:

SECTION 2: Transfer Instructions

A. Brokerage Account Transfer						
Full Transfer <input type="checkbox"/> or Partial Transfer <input type="checkbox"/>						
	Description	Symbol/CUSIP	Shares/QTY		Description	Symbol/CUSIP
1.				5.		
2.				6.		
3.				7.		
4.				8.		

B. Transfer Agent		
<input type="checkbox"/> Transfer ALL OF MY Shares (whole) and liquidate Fractional Shares		
<input type="checkbox"/> Transfer Only PART of the Assets Listed below		
Description	Symbol / CUSIP	Shares

SECTION 3: Account Holder Authorization

To Delivering Firm: Unless otherwise indicated above, please transfer all assets in my account to Curvature Securities, LLC. I understand that to the extent any assets in my account are not readily transferable, with or without penalties, such assets may not be transferred within the time frames required by NYSE Rule 412 or similar rule of FINRA or other designated examining authority. Unless otherwise indicated above, I authorize you to liquidate any nontransferable proprietary money market funds assets that are part of my account and transfer the resulting credit balance to the successor custodian. I understand that you will contact me with respect to the disposition of any other assets that are part of my account that are non-transferable, or which cannot be held at Curvature. I authorize you to deduct any outstanding fees due you from the credit balance in my account. If my account does not contain a credit balance, or if the credit balance in the account is insufficient to satisfy any outstanding fees due you, I authorize you to liquidate the assets in my account to the extent necessary to satisfy that obligation. If certificates or other instruments in my account are in your physical possession, I instruct you to transfer them in good deliverable form, including affixing any necessary tax waivers, to enable the successor custodian to transfer them in its name for the purpose of sale, when and as directed by me. I understand that upon receiving a copy of this transfer instruction, you will cancel all open orders for my account on your books. I understand that by transferring my equities to Curvature, all future correspondence concerning my equity positions must be directed to Curvature.

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Signatures – All Account Holders Must Sign		
Account Holder Signature	Print Name	Date
Account Holder Signature	Print Name	Date
Broker Signature	Broker Name/Rep ID	Date

Medallion Signature Guarantee (Official Use Only)	Medallion Signature Guarantee (Official Use Only)

GUIDANCE FOR COMPLETING THE ACCOUNT TRANSFER FORM

NOTE: If you are transferring between two Curvature Securities, LLC accounts, please use the LOA for Internal Transfer. This form may not be used to transfer from a checking or savings account from a bank/credit union.

Account Number:									
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FREQUENTLY ASKED QUESTIONS ON TRANSFERS

How long will the transfer take?

Full brokerage account transfers are sent via ACATS (Automated Customer Account Transfer Service) and take approximately 7 – 10 business days. Any residual balances that remain with the delivering brokerage firm after your transfer is completed should follow in 7 – 10 additional business days. Transfers that are not eligible to be entered on the ACATS system take at least 4 weeks to be completed upon receipt of all necessary paperwork.

Will there be any transfer fees? Upon receiving your transfer request, your previous clearing institution may charge a fee to transfer to your Curvature account as a debit and you are responsible for any fees transferred. Curvature.

Can I transfer my Mutual Funds? No.

What is a Transfer in Kind? Transfer of securities as is. Assets will be transferred to a new or existing Curvature brokerage account for the benefit of the client.

updated 10/04/2022