

STOCK USA EXECUTION SERVICES, INC (ALGO) - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2021

January 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
22.59	34.72	46.88	18.40	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CUTTONE & CO., LLC (TTUC)	26.41	9.50	14.84	2.08	0.00	12.6329	14.71	18.667	14.71	0.8341	14.71	0	0
CODA MARKETS, INC. (CODA)	24.63	10.98	10.68	2.97	0.00	-3.109	-5	-5.4545	-5	-0.728	-5	0	0
Lampost Capital, L.C (LAMP)	10.68	0.00	3.26	7.42	0.00	0	-19.04434	-6.9607	-19.04434	-3.4394	-19.04434	0	0
SPEEDROUTE LLC (SPDR)	33.53	10.09	18.10	5.34	0.00	2.8091	4.26137	7.7945	4.26137	0.932	4.26137	0	0

Material Aspects:

CUTTONE & CO., LLC (TTUC):

[Mint does not receive payment from DriveWealth (TTUC) for directing order flow. Since the execution fee or rebate are not based on different security types (S&P or non-S&P) or order types (Market or Marketable Limit, or Non-Marketable Limit), we calculate a flat rate for all types, per share. Then we use that per share rate to fill the right side of the 606 report, multiply it to those different filled shares, convert it to "cents per hundred shares", and display it on the 606 report.]

CODA MARKETS, INC. (CODA):

[Mint receives payment from CODA Markets (CODA) for directing order flow. Payment varies based upon a number of factors including but not limited to: Size of order, time of order placement, whether an order is marketable at the time of order entry, the underlying price of the security and any special handling instructions. Since the execution fee or rebate are not based on different security types (S&P or non-S&P) or order types (Market or Marketable Limit, or Non-Marketable Limit), we calculate a flat rate for all types, per share. Then we use that per share amount to fill the right side of the 606 report, multiply it to those different filled shares, convert it to "cents per hundred shares", and display it on the 606 report.]

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January 2021

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
19.86	32.42	29.33	38.24	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
SPEEDROUTE LLC (SPDR)	29.02	12.00	10.44	6.59	0.00	817.5703	4.26137	817.8234	4.26137	186.1784	4.26137	0	0
CODA MARKETS, INC. (CODA)	25.05	10.27	6.98	7.81	0.00	-778.6835	-5	-578.6215	-5	-288.9115	-5	0	0
CUTTONE & CO., LLC (TTUC)	21.81	9.82	6.34	5.66	0.00	2,442.5337	14.71	2,107.2295	14.71	948.1669	14.71	0	0
Lampost Capital, L.C (LAMP)	16.96	0.00	2.01	14.96	0.00	0	-19.04434	-539.648	-19.04434	-2,493.0337	-19.04434	0	0

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January 2021

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Material Aspects:

February 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
18.57	41.71	34.60	23.70	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
SPEEDROUTE LLC (SPDR)	34.60	12.32	18.48	3.79	0.00	1.8003	5.17485	3.0128	5.17485	0.6003	5.17485	0	0
CODA MARKETS, INC. (CODA)	22.27	8.53	9.00	4.74	0.00	-1.1995	-5	-2.4465	-5	-1.2625	-5	0	0
CUTTONE & CO., LLC (TTUC)	11.85	4.27	5.69	1.90	0.00	1.8153	14.99	2.4524	14.99	0.0645	14.99	0	0
Lampost Capital, L C (LAMP)	9.00	0.00	1.42	7.58	0.00	0	-16.6705	-1.7954	-16.6705	-1.2319	-16.6705	0	0

Material Aspects:

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CUTTONE & CO., LLC (TTUC):

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February 2021

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
14.42	31.47	29.32	39.21	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
SPEEDROUTE LLC (SPDR)	30.36	12.31	10.84	7.21	0.00	828.907	5.17485	811.7291	5.17485	199.0009	5.17485	0	0
CODA MARKETS, INC. (CODA)	25.22	10.71	6.60	7.91	0.00	-627.998	-5	-422.2615	-5	-166.043	-5	0	0
CUTTONE & CO., LLC (TTUC)	17.57	7.83	4.92	4.82	0.00	1,301.4738	14.99	856.0909	14.99	345.7549	14.99	0	0
Lampost Capital, L.C (LAMP)	17.37	0.00	2.04	15.33	0.00	0	-16.6705	-408.0422	-16.6705	-1,102.6969	-16.6705	0	0

Material Aspects:

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February 2021

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
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Material Aspects:

March 2021

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
13.91	55.78	17.01	27.21	0.00

Venues

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CODA MARKETS, INC. (CODA)	28.91	17.01	6.12	5.78	0.00	-7.6515	-5	-2.818	-5	-0.5985	-5	0	0
SPEEDROUTE LLC (SPDR)	28.57	17.35	6.12	5.10	0.00	3.3096	4.26106	1.534	4.26106	0.2467	4.26106	0	0
CUTTONE & CO., LLC (TTUC)	20.75	12.24	4.42	4.08	0.00	8.186	14.99	5.5043	14.99	1.439	14.99	0	0
Lampost Capital, L.C (LAMP)	12.24	0.00	0.34	11.90	0.00	0	-20.4519	-0.409	-20.4519	-4.7755	-20.4519	0	0

Material Aspects:

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March 2021

Non-S&P 500 Stocks

Summary

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15.14	31.78	24.80	43.43	0.00

Venues

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SPEEDROUTE LLC (SPDR)	29.60	11.48	10.09	8.02	0.00	782.7452	4.26106	592.9329	4.26106	192.5807	4.26106	0	0
CODA MARKETS, INC. (CODA)	24.93	9.89	5.87	9.17	0.00	-740.4905	-5	-371.8315	-5	-274.9795	-5	0	0
CUTTONE & CO., LLC (TTUC)	23.28	9.88	5.77	7.64	0.00	2,325.8528	14.99	1,236.5775	14.99	699.3569	14.99	0	0
Lampost Capital, L.C (LAMP)	19.33	0.00	1.72	17.61	0.00	0	-20.4519	-347.5023	-20.4519	-1,858.323	-20.4519	0	0

Material Aspects:

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March 2021

Options

Summary

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0.00	0.00	0.00	0.00	0.00

Venues

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Material Aspects: