

# MINT GLOBAL MARKETS, INC. (ALGO) - Held NMS Stocks and Options Order Routing Public Report

Generated on Tue Feb 01 2022 11:52:49 GMT-0500 (Eastern Standard Time)

2nd Quarter, 2020

April 2020

## S&P 500 Stocks

### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
21.81	52.34	26.24	21.43	0.00

### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS, INC. (CODA)	22.01	12.40	5.30	4.31	0.00	-72.158	-5	-19.8715	-5	-9.425	-5	0	0
SPEEDROUTE LLC (SPDR)	20.62	11.55	5.30	3.77	0.00	224.519	14.64	57.0814	14.64	29.0209	14.64	0	0
CUTTONE & CO., LLC (TTUC)	17.79	11.99	4.40	1.39	0.00	210.4845	15	48.3705	15	5.9415	15	0	0
Lampost Capital, L.C (LAMP)	9.84	0.00	2.38	7.46	0.00	0	-13.42	-35.928	-13.42	-46.4587	-13.42	0	0

### Material Aspects:

#### CODA MARKETS, INC. (CODA):

[Mint receives payment from CODA Markets (CODA) for directing order flow. Payment varies based upon a number of factors including but not limited to: Size of order, time of order placement, whether an order is marketable at the time of order entry, the underlying price of the security and any special handling instructions. Since the execution fee or rebate are not based on different security types (S&P or non-S&P) or order types (Market or Marketable Limit, or Non-Marketable Limit), we calculate a flat rate for all types, per share. Then we use that per share amount to fill the right side of the 606 report, multiply it to those different filled shares, convert it to "cents per hundred shares", and display it on the 606 report.]

#### SPEEDROUTE LLC (SPDR):

[Mint does not receive payment from SpeedRoute (SPDR) for directing order flow. Since the execution fee or rebate are not based on different security types (S&P or non-S&P) or order types (Market or Marketable Limit, or Non-Marketable Limit), we calculate a flat rate for all types, per share. Then we use that per share amount to fill the right side of the 606 report, multiply it to those different filled shares, convert it to "cents per hundred shares", and display it on the 606 report.]

#### CUTTONE & CO., LLC (TTUC):

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## April 2020

### Non-S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
16.30	34.97	29.22	35.82	0.00

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CUTTONE & CO., LLC (TTUC)	29.84	12.30	9.60	7.94	0.00	797.817	15	469.3815	15	265.9095	15	0	0
CODA MARKETS, INC. (CODA)	28.87	12.35	9.09	7.43	0.00	-281.3005	-5	-125.9945	-5	-57.7315	-5	0	0
SPEEDROUTE LLC (SPDR)	27.12	11.84	9.13	6.15	0.00	751.8504	14.64	454.146	14.64	132.5286	14.64	0	0
Lampost Capital, L.C (LAMP)	15.57	0.00	1.76	13.81	0.00	0	-13.42	-68.6071	-13.42	-239.6638	-13.42	0	0

#### Material Aspects:

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## April 2020

### Options

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
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Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

#### Material Aspects:

May 2020

#### S&P 500 Stocks

##### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
27.35	42.61	19.23	38.16	0.00

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS, INC. (CODA)	21.67	9.76	4.39	7.52	0.00	-110.8405	-5	-57.158	-5	-73.028	-5	0	0
SPEEDROUTE LLC (SPDR)	19.72	8.83	4.20	6.69	0.00	183.4302	10.71	147.9801	10.71	95.002	10.71	0	0
CUTTONE & CO., LLC (TTUC)	18.35	9.81	4.69	3.86	0.00	338.6043	14.84	146.8181	14.84	125.7111	14.84	0	0
Lampost Capital, L.C (LAMP)	9.47	0.00	0.34	9.13	0.00	0	-22.23	-2.5564	-22.23	-396.7655	-22.23	0	0

#### Material Aspects:

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SPEEDROUTE LLC (SPDR):

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CUTTONE & CO., LLC (TTUC):

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## May 2020

### Non-S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
19.93	39.08	23.22	37.70	0.00

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CUTTONE & CO., LLC (TTUC)	30.62	13.61	7.56	9.45	0.00	2,231.4373	14.84	1,046.9575	14.84	436.2871	14.84	0	0
CODA MARKETS, INC. (CODA)	28.81	13.42	6.80	8.59	0.00	-705.993	-5	-270.8195	-5	-97.7085	-5	0	0
SPEEDROUTE LLC (SPDR)	28.47	13.51	7.17	7.79	0.00	1,635.583	10.71	746.6305	10.71	336.1173	10.71	0	0
Lampost Capital, L.C (LAMP)	13.67	0.00	1.25	12.42	0.00	0	-22.23	-141.7007	-22.23	-878.8653	-22.23	0	0
Direct Edge ECN LLC (EDGX)	0.01	0.00	0.01	0.00	0.00								

#### Material Aspects:

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##### Direct Edge ECN LLC (EDGX):

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## May 2020

### Options

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

#### Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)

#### Material Aspects:

## June 2020

### S&P 500 Stocks

#### Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
28.91	37.21	23.13	39.66	0.00

#### Venues

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SPEEDROUTE LLC (SPDR)	24.70	10.41	5.95	8.35	0.00	97.4496	12	40.1256	12	31.776	12	0	0
CODA MARKETS, INC. (CODA)	22.21	9.23	4.98	8.00	0.00	-34.42	-5	-17.287	-5	-13.0375	-5	0	0
Lampost Capital, L.C (LAMP)	18.89	0.00	2.75	16.13	0.00	0	-18.65	-44.2453	-18.65	-85.9299	-18.65	0	0
CUTTONE & CO., LLC (TTUC)	16.83	9.84	5.16	1.84	0.00	124.341	15	51.5085	15	5.7765	15	0	0

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## June 2020

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### Non-S&P 500 Stocks

**Summary**

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
20.70	28.09	27.15	44.76	0.00

**Venues**

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
SPEEDROUTE LLC (SPDR)	26.21	9.59	8.81	7.81	0.00	2,710.7124	12	1,428.684	12	517.9584	12	0	0
CODA MARKETS, INC. (CODA)	24.68	9.39	6.55	8.75	0.00	-1,051.76	-5	-418.958	-5	-250.3065	-5	0	0
CUTTONE & CO., LLC (TTUC)	24.45	9.39	6.82	8.24	0.00	3,507.3435	15	1,638.384	15	793.614	15	0	0
Lampost Capital, L.C (LAMP)	17.76	0.00	1.26	16.50	0.00	0	-18.65	-293.0045	-18.65	-1,378.595	-18.65	0	0

**Material Aspects:****SPEEDROUTE LLC (SPDR):**

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**June 2020**

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**Options**

**Summary**

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
0.00	0.00	0.00	0.00	0.00

**Venues**

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**Material Aspects:**