

MINT GLOBAL MARKETS, INC. (SPTD) - Held NMS Stocks and Options Order Routing Public Report

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4th Quarter, 2022

October 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
21.40	17.28	34.23	48.49	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS, INC. (CODA)	9.03	0.00	7.92	1.11	0.00	0	-2	-21.6078	-2	-9.245	-2	0	0
Lampost Capital, L.C (LAMP)	37.90	0.00	7.36	30.55	0.00	0	-16.09	-153.3795	-16.09	-258.4666	-16.09	0	0
SPEEDROUTE LLC (SPDR)	37.35	11.15	10.59	15.61	0.00	-4.5942	-2.09	-24.3138	-2.09	-25.1667	-2.09	0	0
CUTTONE & CO., LLC (TTUC)	13.94	4.91	8.03	1.00	0.00	21.316	21.21	161.2851	21.21	214.221	21.21	0	0

Material Aspects:

CODA MARKETS, INC. (CODA):

[SpeedTrader, Inc. receives payment for routing listed equity order flow to CODA Markets at \$0.001 per share or less. SpeedTrader does not negotiate payment as a condition for sending more order flow to a market maker.]

Lampost Capital, L.C (LAMP):

[SpeedTrader, Inc. receives payment for routing listed equity order flow to Lampost at \$0.0027 per share or less. SpeedTrader does not negotiate payment as a condition for sending more order flow to a market maker.]

SPEEDROUTE LLC (SPDR):

[SpeedTrader, Inc. receives payment for routing listed equity order flow to Speedroute at \$0.0025 per share or less. SpeedTrader does not negotiate payment as a condition for sending more order flow to a market maker.]

CUTTONE & CO., LLC (TTUC):

[SpeedTrader, Inc. Does not receive payment for routing listed equity order flow to DriveWealth. SpeedTrader does not negotiate payment as a condition for sending more order flow to a market maker.]

October 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
21.86	22.76	30.51	46.73	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS, INC. (CODA)	7.16	0.43	5.93	0.80	0.00	-3.1674	-2	-71.5314	-2	-6.0238	-2	0	0
SPEEDROUTE LLC (SPDR)	37.09	14.25	7.62	15.22	0.00	-261.1595	-2.09	-112.5473	-2.09	-87.1843	-2.09	0	0
Lampost Capital, L.C (LAMP)	32.01	0.00	5.79	26.22	0.00	0	-16.09	-432.8258	-16.09	-476.478	-16.09	0	0
CUTTONE & CO., LLC (TTUC)	16.08	7.56	6.17	2.35	0.00	1,591.223	21.21	1,024.3348	21.21	347.0423	21.21	0	0

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October 2022

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
34.98	58.06	5.24	36.69	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Lampost	89.52	58.06	5.24	26.21	0.00	-1.2432	-12	-0.06	-12	-0.2868	-12	0	0

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Capital, L.C (LAMP)													
VOLANT EXECUTION, LLC (CMSP)	8.87	0.00	0.00	8.87	0.00								

Material Aspects:

Lampost Capital, L.C (LAMP):

[SpeedTrader, Inc. receives payment for routing listed equity order flow to Lampost at \$0.0027 per share or less. SpeedTrader does not negotiate payment as a condition for sending more order flow to a market maker.]

VOLANT EXECUTION, LLC (CMSP):

[SpeedTrader, Inc. does not receive payment nor do we pay for routing for Volant (CMSP).]

November 2022

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
16.23	34.05	39.82	26.13	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CODA MARKETS, INC. (CODA)	9.55	0.00	9.17	0.38	0.00	0	-2	-19.158	-2	-2.6	-2	0	0
SPEEDROUTE LLC (SPDR)	44.60	24.25	12.19	8.17	0.00	-12.5458	-3.12	-32.1344	-3.12	-17.3909	-3.12	0	0
Lampost Capital, L.C (LAMP)	26.38	0.00	9.17	17.21	0.00	0	-10.17	-45.9359	-10.17	-47.9922	-10.17	0	0
CUTTONE & CO., LLC (TTUC)	18.97	9.42	9.30	0.25	0.00	34.3315	18.16	125.0679	18.16	27.24	18.16	0	0

Material Aspects:

CODA MARKETS, INC. (CODA):

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SPEEDROUTE LLC (SPDR):

[SpeedTrader, Inc. receives payment for routing listed equity order flow to Speedroute at \$0.0025 per share or less. SpeedTrader does not negotiate payment as a condition for sending more order flow to a market maker.]

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November 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
17.33	29.55	33.98	36.48	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
CUTTONE & CO., LLC (TTUC)	16.52	8.55	6.60	1.37	0.00	1,003.2292	18.16	651.628	18.16	63.8578	18.16	0	0
CODA MARKETS, INC. (CODA)	8.55	0.71	6.62	1.22	0.00	-0.6252	-2	-75.7526	-2	-6.4712	-2	0	0
SPEEDROUTE LLC (SPDR)	37.90	18.83	9.24	9.83	0.00	-295.8056	-3.12	-178.5018	-3.12	-67.0934	-3.12	0	0
Lampost Capital, L.C (LAMP)	24.25	0.00	6.07	18.18	0.00	0	-10.17	-228.7681	-10.17	-254.1249	-10.17	0	0

Material Aspects:

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November 2022

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
38.70	67.42	3.26	29.32	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non-Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
VOLANT EXECUTION, LLC (CMSP)	11.53	0.00	0.00	11.53	0.00								
Lampost Capital, L.C (LAMP)	88.47	67.42	3.26	17.79	0.00	-5.592	-10	-0.167	-10	-0.219	-10	0	0

Material Aspects:

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December 2022

S&P 500 Stocks

Summary

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25.76	35.56	17.02	47.41	0.00

Venues

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SPEEDROUTE LLC (SPDR)	44.89	23.33	5.67	15.89	0.00	-7.5334	-2.66	-9.1219	-2.66	-1.2736	-2.66	0	0
Lampost Capital, L.C (LAMP)	35.56	0.00	4.16	31.40	0.00	0	-10.19	-6.8273	-10.19	-14.5187	-10.19	0	0
CODA MARKETS, INC. (CODA)	3.03	0.00	3.03	0.00	0.00	0	-2	-7.1156	-2	0	-2	0	0
CUTTONE & CO., LLC (TTUC)	16.14	11.98	4.16	0.00	0.00	39.8981	22.45	34.1981	22.45	0	22.45	0	0

Material Aspects:

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December 2022

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non-Directed Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Other Orders as % of Non-Directed Orders
18.32	27.62	24.93	47.45	0.00

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CUTTONE & CO., LLC (TTUC)	13.63	8.31	4.42	0.90	0.00	690.4094	22.45	394.5049	22.45	52.5667	22.45	0	0
CODA MARKETS, INC. (CODA)	6.48	0.43	4.87	1.19	0.00	-1.123	-2	-45.549	-2	-3.044	-2	0	0
SPEEDROUTE LLC (SPDR)	37.21	17.93	5.68	13.61	0.00	-136.3399	-2.66	-85.5828	-2.66	-46.7088	-2.66	0	0
Lampost Capital, L.C (LAMP)	30.25	0.00	3.94	26.31	0.00	0	-10.19	-182.3827	-10.19	-234.5361	-10.19	0	0

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December 2022

Options

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26.09	42.71	6.77	50.52	0.00

Venues

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VOLANT EXECUTION, LLC (CMSP)	23.96	17.71	0.00	6.25	0.00								
Lampost Capital, L.C (LAMP)	72.92	25.00	6.77	41.15	0.00	-0.3228	-12	-0.4488	-12	-0.8616	-12	0	0

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