

## CERTIFICATE OF TRUST AND INVESTMENT POWERS

In consideration of Stock USA Execution Services, Inc. (SUSA) and COR Clearing LLC ("Clearing Firm") opening and Trustee maintaining one or more accounts for the Trust, the undersigned Trustee(s) certify, represent and warrant that the Trust is in full force and effect and that the Trust Agreement has not been revoked, amended or modified in any manner that would render this certification inaccurate. The Trustee(s) further represent and agree that the following information is true, complete and accurate.

Trust Title	Date of Trust
The Trust is Governed by the Law of the State of:	The Trust is
List all Current Trustees	Telephone Number

**NOTE:** All Trustees must complete the information below. Should only one person execute the Trustee Certification, it shall be a representation that the signer is the sole Trustee. Where applicable, plural references in this Trustee Certification shall be deemed singular.

Trustee's Name	Telephone Number
Social Security Number	Date of Birth (mm/dd/yyyy)
Address	

Trustee's Name	Telephone Number
Social Security Number	Date of Birth (mm/dd/yyyy)
Address	

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Address	

Trustee's Name	Telephone Number
Social Security Number	Date of Birth (mm/dd/yyyy)
Address	

Please attach additional pages if necessary.



In addition to the Trustee(s), you and the Clearing Firm may accept orders and other instructions relative to the Trust Account(s) from the individuals or entities listed below. Those individuals or entities may execute any documents on behalf of the Trusts and, unless otherwise specified, any one of the listed individuals or entities may act individually on behalf of the Trust. Further I/We certificate that there are no other Trustees of the Trust other than the undersigned and/or Trustees listed above.

## Powers of the Trustee(s)

The Trustee(s) certify and represent that the Trust Agreement authorizes each Trustee to act independently and without providing you and the Clearing Firm with consent of the other Trustee(s) for all purposes related to maintaining this Trust Account or that the Trustee(s) so acting has obtained the requisite consent of the other Trustee(s) in accordance with the terms of the Trust Agreement. The Trustee(s) so acting has obtained the requisite consent of the other Trustee(s) in accordance with the terms of the Trust Agreement. The Trustee(s) represent that you and the Clearing Firm is authorized to follow, without limitation, the instructions of any Trustee(s) including, but not limited to, the signing of all account agreements and documents on behalf of the Trust, directors to deliver or transfer funds, securities or other assets, the endorsement of checks and to enter into transactions to the purchase or sale of securities insurance and annuity contracts and other investments.

The Trustee(s) further represent that the Trust Agreement authorizes (check all that apply):



The following limitations restrict investment of Trust assets:

**Restrictions:** 

(specify any investment restriction, type of security or property)

To maintain a Margin and Short Account and through such account to borrow funds to purchase securities on margin, sell securities which the Trust does not own (i.e. short sales) and to borrow securities in connection there with.



To trade in options contracts, including without limitations, the purchase of puts and call and the writing (sale) of covered and uncovered puts and calls.



To trade Penny Stocks

To Pattern Day Trade "minimum maintenance requirement of \$25,000"

The Trustee(s) certify and represent that the information provided in the Trust Account Application and other account paper-work has been reviewed by the Trustee(s) and is true and correct as of the date of this certification and that the Trustee(s) agree to notify you and Clearing Firm promptly of any amendments to the Trust, and change to the composition of the Trustees or any other even which could alter this certification.

This certification supersedes any prior certification and you and Clearing Firm may rely on it until receipt of a new certification. The Trustee(s) agree that you and Clearing Firm is not responsible for reviewing or determining the propriety of instructions



received by any Trustee and may rely on those instructions, without confirming them with any other Trustee. Notice sent to one Trustee, including notice sent electronically, shall constitute notice to all Trustees. The Trustees hereby jointly and severally agree to indemnify you and Clearing Firm and each of its affiliates, officers, directors, employees, and agent from and hold such persons harmless against, any claims, judgments, expenses, liabilities or costs of defense or settlement (including attorney's fees) arising out of relating to breach of any representation or warranty made herein or to any actual or alleged improper or unsuitable actions taken upon such Trustees instructions in connection with the brokerage account established at you and Clearing Firm for the Trust. This indemnification shall not be limited in any way by the Trustees provision, you and Clearing Firm of independent documentation concerning the representations made herein.

The representations and obligations stated herein shall survive termination for the Trust Agreement and any Account Agreement relating to the Trust's brokerage account.

## **NOTE:** All Trustees must sign below.

Account Number	Date
Trustee Signature	Print Trustee's Name

Account Number	Date
Trustee Signature	Print Trustee's Name

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Please attach additional pages if necessary.

Full copy of Trust Agreement attached.

All executions through Stock USA Execution Services, Inc. Member FINRA & SIPC

For Service Call: (800) 874-3039 from 8:00 a.m. to 5:00 p.m. EST or Fax (845) 622-4878 or email info@stockusa.com www.SpeedTrader.com / Live Chat

Address: 1717 Route 6, Suite 102, Carmel, New York 10512 URL: speedtrader.com Twitter: @speedtraders Facebook: SpeedTrader

Cleared Through COR Clearing LLC