



A division of Stock USA Execution Services, Inc.

Foreign Clients – Know Your Client Questionnaire

It is Stock USA Execution Services, Inc. policy to know our clients. A copy of this form must be completed for each beneficial owner and/or signatory of a Stock USA account, including individuals named on a Power of Attorney and Trading Authorizations. The information requested is required under United States Anti-Money Laundering regulations. Please complete this form and provide the below listed documentation for each relevant individual.

Copy of passport *

Copy of secondary photo ID (e.g. Driver's License or other Government issued ID) *

Copy of Bank or Brokerage firm statement or a Bank reference letter *

- If you do not have both of the 2 required forms of ID listed above, than you can provide one of the ID's listed along with a Utility bill or phone bill or other acceptable verification of address.
- Bank reference letters must be originals and on letterhead. Copies of reference letters must be notarized. Reference letters should attest to the subject's good character and must confirm at least 2 years of satisfactory business and/or personal dealings. Letters must be signed and include a contact name and number. Letters may be addressed "To Whom it May Concern". Stock USA may at its discretion verify references on an anonymous basis.

Your Full Name: _____ Country of Citizenship: _____

Residential Address: _____

City: _____ State or Province: _____ Country: _____ Postal Code: _____

Home Phone: _____ Mobile Phone: _____ Fax: _____

Date of Birth: _____ Marital Status: _____ Passport or ID # _____

Occupation or Position: _____ Length of Employment: _____ Employer Name: _____

Employers Address: _____

City: _____ State or Province: _____ Country: _____ Postal Code: _____

Business Phone: _____ Business Fax: _____

Are you the beneficial owner of the company opening this account with Stock USA Execution Services Inc.? [] No [] Yes

If YES, please disclose your percentage ownership interest in the company. _____ % Initial: _____

Are you a director, officer or a controlling shareholder of any publicly traded company? [] No [] Yes

If YES, I hereby acknowledge that any filing requirements are solely my responsibility and I am not relying on Stock USA for any assistance or advise in regards to regulatory filings that I may be required to undertake in a foreign jurisdiction.

Initial: _____

I hereby acknowledge that any tax obligations I may have are solely my responsibility, that I have sought professional tax counsel and that I am not relying on Stock USA Execution Services, Inc. for any taxation advise. Initial: _____

I certify that all the information provided by me in this document is true and correct, and I agree to advise Stock USA immediately of any changes to this information. I agree to hold Stock USA Execution Services, Inc. harmless for any errors or omissions.

Signed: _____ Date: _____

All executions through Stock USA Execution Services, Inc. Member FINRA & SIPC

For Service Call: (800) 874-3039 from 8:00 a.m. to 5:00 p.m. EST or fax (845) 622-4878 or email info@SpeedTrader.com www.SpeedTraderPro.com /LiveChat

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Cleared Through Electronic Transaction Clearing, Inc. (ETC)