

## **Order Routing Quarterly (AXOS Clearing) Report SEC Rule 606 Order Routing Information:**

[www.speedtrader.com](http://www.speedtrader.com)

**Report For Period 4/1/2019 To 6/30/2019**

Mint Global Markets, Inc. has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available reports on their order-routing practices. The report provides information on the routing of "non-directed orders" (any order that the customer has not specifically instructed to be routed to a particular venue for execution).

This report is divided into four sections: one for securities listed on the New York Stock Exchange, one for securities listed on The NASDAQ Stock Market, one for securities listed on the American Stock Exchange or regional exchanges. For each section, this report identifies the number of non-directed orders as a percentage of total customer orders.

### **Payment for Order Flow**

Mint Global Markets, Inc. receives compensation on a per-share or per-contract basis for directing order flow to selected exchanges and brokers-dealers. Customers can request that Mint Global Markets, Inc disclose to its customer the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any that resulted from such orders. HARD COPY OF THIS REPORT IS AVAILABLE UPON REQUEST. FREE OF CHARGE. Price improvement is available under certain market conditions. We post quarterly order routing execution reports at: [www.speedtrader.com](http://www.speedtrader.com).

For additional information or to request a "Hard Copy" or "Identity of the Venue Disclosure", please contact us in writing or by email as follows:

1717 Route 6, Suite 102

Carmel, NY 10512

(800) 874-3039 from 8:00 a.m. to 5:00 p.m. EST or fax (845) 622-4878

or email: [info@speedtrader.com](mailto:info@speedtrader.com) or [www.speedtrader.com/LiveChat](http://www.speedtrader.com/LiveChat).

# SEC Rule 606 Report

4/1/2019 To 6/30/2019

**Total Non Directed as a Percentage of Total Orders to NYSE, Nasdaq, AMEX, and CBOE 18.01%**

## Network A Securities - NYSE

**Non Directed in NYSE Securities as Percentage of Total Orders in NYSE, NASDAQ, AMEX, CBOE 5.42%**

**Non Directed as a Percentage of Total Orders in NYSE Securities 24.78%**

Percentage to Venue	Total Non-Directed Orders	Market	Limit	Other	0.00%
		Market Orders	Limit Orders	Other Orders	
ARCA	1.88%		0.15%	2.53%	0.00%
CUTS	31.59%		36.77%	29.63%	0.00%
HEAT	15.73%		13.45%	16.59%	0.00%
LAMP	11.42%		21.74%	7.53%	0.00%
PDQB	21.83%		26.95%	19.90%	0.00%
POSTT	17.44%		0.94%	23.67%	0.00%
SPDRX	0.11%		0.00%	0.15%	0.00%

## Nasdaq Securities

**Non Directed as a Percentage of Total Orders to NYSE, Nasdaq, Amex and CBO 11.93%**

**Non Directed as a Percentage of Total Orders in Nasdaq Securities 17.24%**

Percentage to Venue	Total Non-Directed Orders	Market	Limit	Other	0.00%
		Market Orders	Limit Orders	Other Orders	
ARCA	1.20%		0.07%	1.57%	0.00%
CUTS	21.58%		36.41%	16.78%	0.00%
HEAT	21.57%		15.06%	23.68%	0.00%
LAMP	16.82%		20.77%	15.54%	0.00%
PDQB	23.39%		26.10%	22.51%	0.00%
POSTT	14.49%		0.67%	18.96%	0.00%
SPDRX	0.95%		0.92%	0.96%	0.00%

## Network B Securities - NYSE Amex and Regional

**Non Directed as a Percentage of Total Orders to NYSE, Nasdaq, Amex and CBOE 0.66%**

**Non Directed as a Percentage of Total Orders in Amex/ Regional Securities 8.28%**

Percentage to Venue	Total Non-Directed Orders	Market	Limit	Other	0.00%
		Market Orders	Limit Orders	Other Orders	
ARCA	0.32%		0.32%	0.32%	0.00%
CUTS	28.17%		30.54%	26.96%	0.00%
HEAT	18.64%		23.58%	16.11%	0.00%
LAMP	9.86%		17.56%	5.91%	0.00%
PDQB	26.62%		25.32%	27.29%	0.00%
POSTT	14.68%		0.47%	21.94%	0.00%
SPDRX	1.71%		2.22%	1.46%	0.00%

Exchange-Listed Options

**Non Directed as a Percentage of Total Orders to NYSE, Nasdaq, Amex and CBOE 0.07000%**

**Non Directed as a Percentage of Total Orders in Options Securities 0.001%**

Percentage to Venue	Total Non-Directed Orders	Market	Limit	Other	0.00%
		Market Orders	Limit Orders	Other Orders	
VOLX	0.00%		0.00%	100.00%	0.00%

## **ORDER ROUTING QUARTERLY REPORT SEC RULE 606**

In accordance with Regulation NMS Rule 606(b)(1) a customer can request that SUSA disclose the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any that resulted from such orders.

HARD COPY OF THIS REPORT IS AVAILABLE UPON REQUEST - FREE OF CHARGE. Price Improvement is available under certain market conditions. SUSA posts quarterly order routing execution reports at: [www.speedtrader.com](http://www.speedtrader.com). For additional information or to request a "Hard Copy" or "Identity of the Venue Disclosure", please contact Mint Global Markets, Inc., via email: [info@speedtrader.com](mailto:info@speedtrader.com). fax: 845-622-4878, telephone: 800-874-3039, live chat: [www.speedtrader.com](http://www.speedtrader.com), or U.S mail: 1717 Route 6, Attention: Compliance, Carmel, NY 10512.