

## **Order Routing Quarterly (ETC Clearing) Report SEC Rule 606 Order Routing Information:**

[www.speedtrader.com](http://www.speedtrader.com)

**Report For Period 4/1/2019 To 6/30/2019**

Mint Global Markets, Inc. has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available reports on their order-routing practices. The report provides information on the routing of "non-directed orders" (any order that the customer has not specifically instructed to be routed to a particular venue for execution).

This report is divided into three sections: one for securities listed on the New York Stock Exchange, one for securities listed on The NASDAQ Stock Market, one for securities listed on the American Stock Exchange or regional exchanges. For each section, this report identifies the number of non-directed orders as a percentage of total customer orders.

### **Payment for Order Flow**

Mint Global Markets, Inc. receives compensation on a per-share or per-contract basis for directing order flow to selected exchanges and brokers-dealers. Customers can request that Mint Global Markets, Inc disclose to its customer the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any that resulted from such orders. HARD COPY OF THIS REPORT IS AVAILABLE UPON REQUEST. FREE OF CHARGE. Price improvement is available under certain market conditions. We post quarterly order routing execution reports at: [www.speedtrader.com](http://www.speedtrader.com).

For additional information or to request a "Hard Copy" or "Identity of the Venue Disclosure", please contact us in writing or by email as follows:

1717 Route 6, Suite 102

Carmel, NY 10512

(800) 874-3039 from 8:00 a.m. to 5:00 p.m. EST or fax (845) 622-4878

or email: [info@speedtrader.com](mailto:info@speedtrader.com) or [www.speedtrader.com/LiveChat](http://www.speedtrader.com/LiveChat).

# SEC Rule 606 Report

4/1/2019 To 6/30/2019

**Non Directed Orders as a Percentage of Total Orders in NYSE, Nasdaq, Amex** **25.24%**

## Network A Securities - NYSE

**Non Directed as a Percentage of Total Customer orders in NYSE, Nasdaq, Amex** **4.35%**

**Non Directed as a Percentage of Total Customer orders in NYSE Securities** **31.86%**

Percentage to Venue	Total Non-Directed Orders	Market		Limit		Other	
		Market Orders	Market	Limit Orders	Other Orders		
			29.84%		70.16%		0.00%
ARCA	3.10%		0.13%		4.21%		0.00%
CUTS	21.19%		36.94%		15.35%		0.00%
HEAT	21.05%		13.72%		23.77%		0.00%
LAMP	10.81%		18.07%		8.12%		0.00%
PDQ	29.29%		27.97%		29.78%		0.00%
POSTT	14.41%		3.03%		18.63%		0.00%
SPDRX	0.14%		0.13%		0.15%		0.00%

## Nasdaq Securities

**Non Directed as a Percentage of Total Customer orders in NYSE, Nasdaq, Amex** **18.66%**

**Non Directed as a Percentage of Total Customer orders in Nasdaq Securities** **26.56%**

Percentage to Venue	Total Non-Directed Orders	Market		Limit		Other	
		Market Orders	Market	Limit Orders	Other Orders		
			23.44%		76.56%		0.00%
ARCA	6.74%		0.08%		8.58%		0.00%
CUTS	21.64%		37.88%		17.16%		0.00%
HEAT	25.47%		21.20%		26.65%		0.00%
LAMP	8.52%		15.90%		6.48%		0.00%
PDQ	20.72%		20.82%		20.69%		0.00%
POSTT	14.48%		0.34%		18.37%		0.00%
SPDRX	2.44%		3.80%		2.06%		0.00%

## Network B Securities - NYSE Amex and Regional Exchanges

**Non Directed as a Percentage of Total Customer orders in NYSE, Nasdaq, Amex** **2.23%**

**Non Directed as a Percentage of Total Customer orders in Amex and Regional Securities** **###**

Percentage to Venue	Total Non-Directed Orders	Market		Limit		Other	
		Market Orders	Market	Limit Orders	Other Orders		
			35.71%		64.29%		0.00%
ARCA	6.31%		0.60%		9.28%		0.00%
CUTS	25.64%		33.53%		21.55%		0.00%
HEAT	6.11%		4.76%		6.80%		0.00%
LAMP	10.65%		25.40%		2.99%		0.00%
PDQ	27.68%		32.54%		25.15%		0.00%
POSTT	22.32%		2.18%		32.78%		0.00%
SPDRX	1.29%		0.99%		1.44%		0.00%

### Exchange-Listed Options

Percentage to Venue	Total Non-Directed Orders	Market		Limit		Other	
		Market Orders	Market	Limit Orders	Other Orders		
			0.00%		0.00%		0.00%

## **ORDER ROUTING QUARTERLY REPORT SEC RULE 606**

In accordance with Regulation NMS Rule 606(b)(1) a customer can request that SUSA disclose the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any that resulted from such orders.

HARD COPY OF THIS REPORT IS AVAILABLE UPON REQUEST - FREE OF CHARGE. Price Improvement is available under certain market conditions. SUSA posts quarterly order routing execution reports at: [www.speedtraderpro.com](http://www.speedtraderpro.com). For additional information or to request a "Hard Copy" or "Identity of the Venue Disclosure", please contact Stock USA Execution Services, Inc., via email: [info@stockusa.com](mailto:info@stockusa.com), fax: 845-622-4878, telephone: 800-874-3039, live chat: [www.speedtraderpro.com](http://www.speedtraderpro.com), or U.S mail: 1717 Route 6, Attention: Compliance, Carmel, NY 10512.